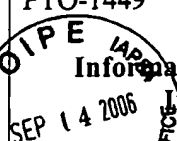


PTO-1449  Information Disclosure Citation in an Application	Application No. 09/419,749		Applicant(s) Tad Deffler et al.	
	Docket Number 063170.6308	Group Art Unit 3624	Filing Date October 16, 1999	

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	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
<i>90</i> A	5,245,675	09/14/93	Ferre et al.	382/27	382/30	10/07/91
<i>90</i> B	5,257,363	10/26/93	Shapiro et al.	395	500	04/09/90
C	5,295,256	03/15/94	Bapat	717	137	12/14/90
D	5,421,016	05/30/95	Conner et al.	395	700	12/12/91
E	5,481,718	01/02/96	Ryu et al.	395	700	05/20/94
F	5,513,314	04/30/96	Kandasamy et al	714	6	01/27/95
G	5,517,641	05/14/96	Barry et al.	707	101	12/07/93
H	5,548,758	08/20/96	Pirahesh et al.	707/2	707/5	06/05/95
<i>90</i> I	5,596,746	01/21/97	Shen et al.	707	101	10/26/94
J						

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	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
<i>90</i> K	EP 0 396 310	05/25/90	EPO	G06F	15/60		
<i>90</i> L	EP 1 134 949 A2	08/03/01	EPO	H04L	29/06		

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
<i>90</i> M	Cacace et al., "Integrating Object-Oriented Data Modeling With a Rule Based Programming Paradigm," ACM, pp. 225-236	1990
N	Rumbaugh et al., "Object-Oriented Modeling and Design," pp. 69-71	11/14/90
O	"Information Warehouse: how IBM plans to use its Information Warehouse technology to allow access to data from across IBM platforms -- and those of its competitors," (Interview with IBM's Chris Arnold and Willy Chiu) (cover story), DBMS, Vol. 5, No. 3, 6 pages	03/1992
P	Meng et al., "Construction of a Relational Front-end for Object-Oriented Database Systems," IEEE, pp. 476-483	1993
Q	Hsieh et al., "Capturing the Object-Oriented Database Model Relational Form," IEEE, pp. 202-208	1993
<i>90</i> R	Ying Yang, "Change Management in Object-Oriented Databases," Proceedings of the International Phoenix Conference on Computers and Communications, pp. 238-244, IEEE, US	03/23/93

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U.S. PATENT AND TRADEMARK OFFICE

PTO-1449	Application No. 09/419,749		Applicant(s) Tad Deffler et al.	
	Docket Number 063170.6308	Group Art Unit 3624	Filing Date October 16, 1999	

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In an Application**

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E	5,721,915	02/24/98	Sockut et al.	707	201	06/01/95
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H	5,724,425	03/03/98	Chang et al.	380/25	380/4	06/10/94
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K	5,764,978	06/09/98	Masumoto	707/100	707/102	01/12/95
L	5,768,586	06/16/98	Zweben et al.	395	653	01/10/95
M	5,794,229	08/11/98	French et al.	707/2	705/35	12/11/95
N	5,802,511	09/01/98	Kouchi et al.	707/2	707/1	02/01/96
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P	5,857,197	01/05/99	Mullins	707/103R	707/102	03/20/97
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R	Burnett et al., Visual Object Oriented Programming Concepts and Environments, pp. 1-273	1994
S	Forte Software Inc., Product Forte version 1.0 released June 30, 1994, TOOL Reference Manual - whole manual	06/30/94
T	Forte Software Inc., Product Forte version 1.0 released June 30, 1994, A Guide to the Forte Workshops - whole manual	06/30/94
U	Forte Software Inc., Product Forte version 1.0 released June 30, 1994, Framework Project - whole manual	06/30/94
V	Forte Software Inc., Product Forte version 1.0 released June 30, 1994, System Management Guide - whole manual	06/30/94

EXAMINER <i>E. Pollock</i>	DATE CONSIDERED <i>11-16-06</i>
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PTO-1449		Application No. 09/419,749		Applicant(s) Tad Deffler et al.			
Information Disclosure Citation In an Application		Docket Number 063170.6308		Group Art Unit 3624	Filing Date October 16, 1999		
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<input checked="" type="checkbox"/>	A	5,905,982	05/18/99	Carey et al.	707/4	707/2	04/04/97
<input checked="" type="checkbox"/>	B	5,974,201	10/26/99	Chang et al.	382	305	07/03/97
<input checked="" type="checkbox"/>	C	5,974,253	10/26/99	Nahaboo et al.	395	701	09/24/97
<input checked="" type="checkbox"/>	D	5,974,407	10/26/99	Sacks	707/2	707/1	09/29/97
<input checked="" type="checkbox"/>	E	5,978,789	11/02/99	Griffin et al.	707/2	706/51	05/07/97
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<input checked="" type="checkbox"/>	J	Meng et al., "A Theory of Translation from Relational Queries to Hierarchical Queries," IEEE Transactions on Knowledge and Data Engineering," Vol. 7, No. 2, pp. 228-245					04/95
<input checked="" type="checkbox"/>	K	Rational Rose/C++, Round Trip Engineering with Rational Rose C++, Rational Software Corporation, (RAT-C++), pp. 5-227 plus title and copyright pages					11/96
<input checked="" type="checkbox"/>	L	Rational Rose, Using Rational Rose 4.0, Rational Software Corporation, (RAT-UR), 213 pages					11/96
<input checked="" type="checkbox"/>	M	Unified Modeling Language UML & Booch & OMT, Quick Reference for Rational Rose 4.0, 10 pages					11/96
<input checked="" type="checkbox"/>	N	Orfali et al., Client/Server Programming with JAVA and CORBA Second Edition, pp. 1-60, 331-371, 433-518, 711-830, and 905-932 plus 35 pages of title, preface, acknowledgements, contents, and back page					01/30/97
<input checked="" type="checkbox"/>	O	"Logic Works Releases Beta of Object-Relational Modeling Tool for Universal Server Databases," downloaded from microway.com/au/press/logic_umabeta.htm Informix World-wide User Conference, San Francisco, CA, 3 pages					07/22/97
<input checked="" type="checkbox"/>	P	Douglass, "Designing Real-Time Systems with the Unified Modeling Language," Electronic Design, Penton Publishing, Cleveland, OH, US, pp. 132, 134, 136, 138, 140, 142, and cover, Vol. 45, No. 20					09/15/97
<input checked="" type="checkbox"/>	Q	U.S. Patent Pending Application Serial No. 09/418,751, entitled "Method and Apparatus for Providing Access to a Hierarchical Data Store Through an SQL Input," by Tad A. Deffler et al., (Attorney Docket No. 063170.6659), 9 pages, plus 3 drawing pages					filed Oct. 15, 1999
EXAMINER <i>E. Albert</i>				DATE CONSIDERED <i>11-16-06</i>			
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PTO-1449

Application No.
09/419,749Applicant(s)
Tad Deffler et al.Information Disclosure Citation
In an ApplicationDocket Number
063170.6308Group Art Unit
3624Filing Date
October 16, 1999

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D	6,065,002	05/16/00	Knotts et al.	707/4	715/700	10/31/96
E	6,112,304	08/29/00	Clawson	713	156	08/27/97
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G	6,243,709	06/05/01	Tung	707/103R	707/1	06/29/98
H	6,247,020	06/12/01	Minard	707	104	12/17/97
I	6,292,811	09/18/01	Clancey et al.	707	503	07/13/98
J	6,374,256	04/16/02	Ng et al.	707/103R	707/100	06/29/98
K	6,385,610	05/07/02	Deffler et al.	707/6	707/103	10/16/99
L	6,385,767	05/07/02	Ziebell	717	11	09/30/99
M	6,446,109	09/03/02	Gupta	709	203	06/29/98
N	6,453,356	09/17/02	Sheard et al.	709	231	04/15/98
O	6,502,234	12/31/02	Gauthier et al.	717	107	01/15/99
P	6,513,152	01/28/03	Branson et al.	717	100	07/23/97
Q	6,601,023	07/29/03	Deffler et al.	703/13	703/22	10/16/99
R	6,859,919	02/22/05	Deffler et al.	717	100	10/16/99
S	2002/0059446	05/16/02	Visalli et al.	709	236	11/06/01

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						YES	NO
T							

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
U	International PCT Search Report for PCT/US99/23876, filed 10/15/99, 4 pages	02/09/00
V	International PCT Search Report for PCT/US99/24115, filed 10/15/99, 4 pages	02/07/00
W	EPO Communication, Ref. GAW/KT/J43248EP, 4 pages	12/27/02
X	Supplementary EPO Search Report, 4 pages	08/26/03

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